

03-23-01

DOCKET NO.: 1819/100131  
 EXPRESS MAIL NO.: EL640135458US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM  
 (only for new nonprovisional applications under 37 CFR 1.53(b))

ASSISTANT COMMISSIONER FOR PATENTS  
 Washington, D.C. 20231  
**BOX: PATENT APPLICATION**

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, **124 pages**) of:

Inventor(s): **Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen**

For : **A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING AND/OR  
 DESIGNING SPECTRAL SENSITIVITY CURVES FOR AN IMAGING DEVICE**

*\*\*If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
 of prior application Serial No. \_\_\_\_\_

Prior application information: Examiner :  
 Art Unit :

Enclosed are:

- ☒ **7 sheets** of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages).
- ☐ **Copy of signed** Combined Declaration and Power of Attorney (\_\_\_\_ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (\_\_\_\_ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (\_\_\_\_ pages) of the invention to \_\_\_\_\_.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☒ Applicant claims small entity status. (See 37 CFR 1.27.)

JC839 U.S. PTO  
 03/22/01

JC973 U.S. PTO  
 09/814634  
 03/22/01

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- ☐ Preliminary Amendment (\_\_\_\_\_ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (\_\_\_\_\_ pages) and \_\_\_\_\_ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (**3 pages**).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

The Filing fee has been calculated as shown below:

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BASIC FEE	XXXXXXXX	XXXXXXXX	XXXX	\$355	OR	XXXX	\$710
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INDEP CLAIMS	6 - 3 =	3	x 40 =	\$120	OR	x 80 =	\$
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			x 135 =	\$ 0	OR	x 270 =	\$
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- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. **A duplicate copy of this sheet is enclosed.**
- ☒ A check in the amount of **\$673.00** to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1138. **A duplicate copy of this sheet is enclosed.**
- ☒ Address all future communications to:

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**EXPRESS MAIL CERTIFICATE**

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APPLICANT(S) : Naoya Katoh, Noboru Ohta, Shuxue Quan, and Mitchell Rosen

TITLE : A SYSTEM AND METHOD FOR ANALYZING, OPTIMIZING  
AND/OR DESIGNING SPECTRAL SENSITIVITY CURVES  
FOR AN IMAGING DEVICE

Certificate is attached to the **Utility Patent Application which includes Specification, Claims, and Abstract (124 pages) UNSIGNED Combined Declaration and Power of Attorney (3 pages)** of the above-named application.

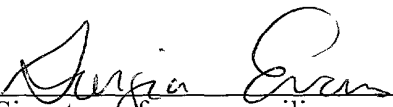
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DATE OF DEPOSIT: **March 22, 2000**

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